

## TERMINAL OLEFIN FUNCTIONALIZED MACROMONOMERS

### CROSS-REFERENCE TO RELATED APPLICATIONS

This application is related to copending and commonly owned provisional application Serial No. 60/190,671, filed March 30, 2000, and claims the benefit of its priority date under 35 USC 119(e).

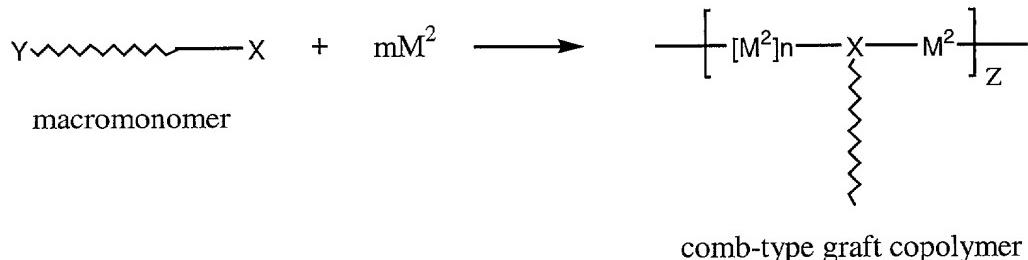
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### FIELD OF THE INVENTION

The present invention is directed to polymer macromonomers with terminal olefin groups and processes for making the same.

### BACKGROUND OF THE INVENTION

Macromonomers are linear macromolecules carrying some polymerizable functional group at their chain end. Often referred to as "macromers," these 10 macromolecular monomers can copolymerize with other monomers via a variety of mechanisms to form comb-type graft copolymers, for example, as shown below in reaction scheme (1):



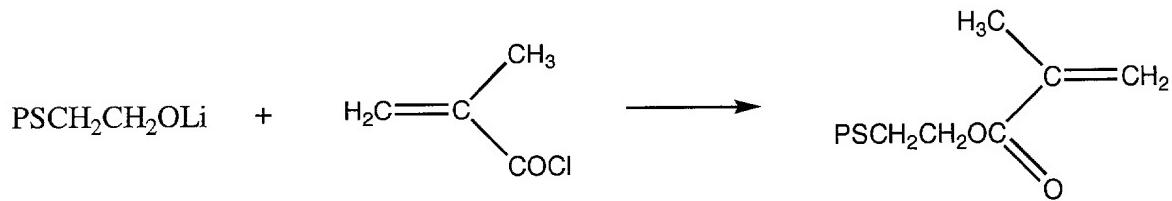
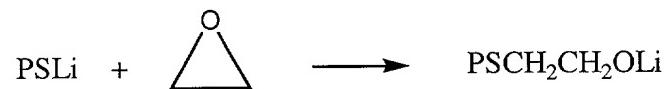
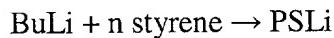
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Reaction Scheme 1

This is in contrast to telechelic polymers, which undergo step-growth type chain extension reactions with other monomers to form linear macromolecules, not branched

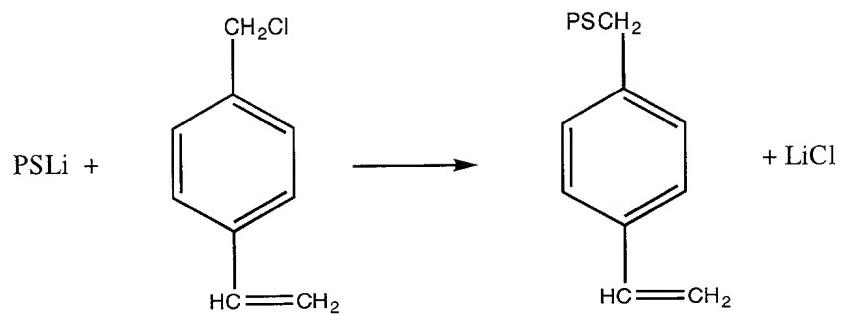
structures. The functional group at the chain end of a macromonomer is typically a vinyl group or a heterocyclic ring such as an oxirane (epoxide) functionality.

As an example, Milkovich reported the synthesis of a methacryloyl-terminated polystyrene in R. Milkovich, in *Anionic Polymerization. Kinetics and Mechanism*, J.E. McGrath, Ed., ACS Symposium Ser. No. 166, American Chemical Society, Washington, DC, 1981, p. 41. However, because poly(styryl)lithium is too reactive for direct reaction with methacryloyl chloride (vinyl addition competes with acylation), the reactivity of the chain end was attenuated by first end capping with ethylene oxide to form the corresponding lithium alkoxide. The polymeric alkoxide was then reacted with methacryloyl chloride to form the methacrylate-functionalized macromonomer. The reaction is illustrated below in Reaction Scheme (2):



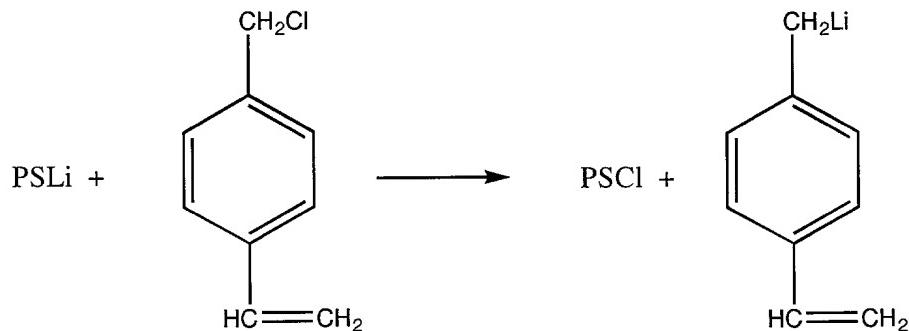
Reaction Scheme 2

Macromonomers can also be synthesized via the direct reaction of polymeric organolithium compounds with unsaturated alkyl halides. See reaction scheme (3) below:



Reaction Scheme 3

This reaction is complicated, however, by side reactions such as lithium halogen exchange (Reaction Scheme 3A), coupling reactions to form dimeric species (Reaction Schemes 3B, 3C) and elimination reactions when a hydrogen is located in a vicinal position relative to the halogen.

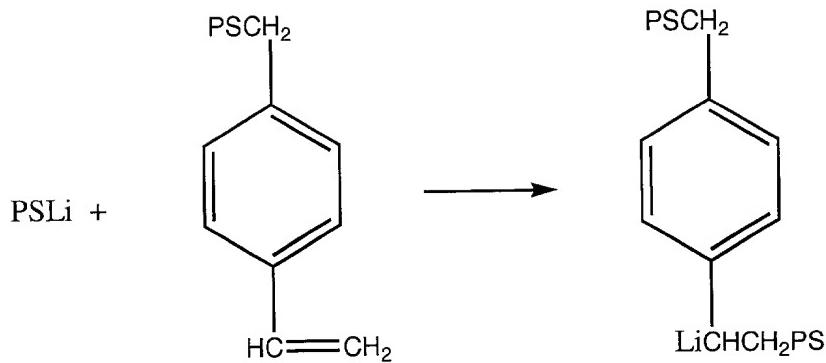


Reaction Scheme 3A

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Reaction Scheme 3B



Reaction Scheme 3C

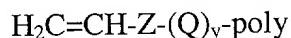
To improve yields, tetrahydrofuran (THF) can be added and the reaction conducted at -78°C. However, THF is known to affect polymer the microstructure. In addition, 5 running the reactions under such extreme temperature conditions can be costly and impractical for commercial scale-up.

Other techniques for synthesizing macromonomers have also been proposed. See discussion at pages H.L. Hsieh and R.P. Quirk, Anionic Polymerization, Marcel Dekker, Inc., 1996, pages 291-300. While these and other process can be useful, there can be 10 problems associated with the same. For example, often reaction efficiencies and yields are poor. Microstructure modifiers, low processing temperatures and other factors may improve yields but can have other effects, which may not be suitable for a particular application.

#### SUMMARY OF THE INVENTION

15 The present invention is directed to polymer macromonomers with terminal olefin groups and processes for making the same. These macromonomers can be prepared by dehydration of polymers with terminal hydroxyl groups. Alternatively the macromonomers can be prepared by elimination of terminal amine based functional groups. The polymers with terminal hydroxyl and/or amine functionality can be prepared 20 using protected hydroxyl and/or amine functional organolithium initiators.

Generally the macromonomers of the invention have the structure:



wherein:

Z is a C3 to C18 linear, branched or cyclic alkylene radical, optionally containing aryl or substituted aryl groups;

Q is a hydrocarbyl group derived by incorporation of a compound selected from the group consisting of conjugated dienes, alkenylsubstituted aromatic compounds and  
5 mixtures thereof;

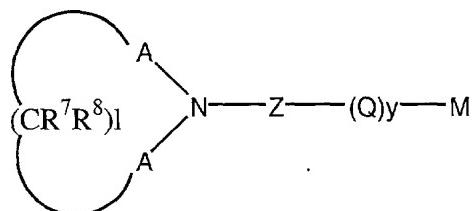
y is a number greater than 0 to about 5; and

poly comprises a polymer derived from one or more anionically polymerizable monomers.

A variety of monomers may be anionically polymerized to prepare the  
10 macromonomers of the invention, such as of olefin monomers, alkenylsubstituted aromatic monomers, polar monomers and mixtures thereof. For example, ethylene can be polymerized, singly or in combination with a comonomer. Other exemplary monomers include butadiene, isoprene and styrene.

The monomers can be polymerized utilizing a protected functionalized initiator of  
15 the formula  $(R^1R^2R^3-A)-O-Z-(Q)_y-M$  wherein M is an alkali metal;  $(A-R^1R^2R^3)$  is a protecting group in which A is an element selected from Group IVa of the Periodic Table of the Elements; and R<sup>1</sup>, R<sup>2</sup>, and R<sup>3</sup> are each independently defined as hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, cycloalkyl and substituted cycloalkyl; and Z, Q, and y have the meanings ascribed above. The resultant living polymer can be terminated  
20 using a protonating, capping or coupling agent to provide a polymer having an  $\alpha$ -protected hydroxyl functionality. The protecting group is thereafter removed and the liberated hydroxyl functionality is subjected to an elimination step (such as by dehydration) to provide an  $\alpha$ -olefin terminated polymer.

In another aspect of the invention, the monomers can be polymerized utilizing a  
25 protected functionalized initiator of the formula  $(R^1R^2R^3-A)_2-N-Z-(Q)_y-M$  or



wherein: R<sup>7</sup> and R<sup>8</sup> are each independently selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, cycloalkyl, and substituted cycloalkyl; l is an integer from 1 to 7; and M, A, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, Z, Q, and y are the same described above. The resultant living polymer can be terminating using a protonating, 5 capping or coupling agent to provide a polymer having an  $\alpha$ -protected tertiary amine functionality. The protected tertiary amine group is thereafter subjected to appropriate chemistry so that the amine group can be eliminated to provide an  $\alpha$ -olefin terminated polymer.

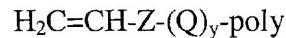
The polymers of the invention can be subjected to various other chemistries as 10 well. For example, the termination step can include a functionalizing step so as to provide a  $\omega$ -functional group, which may be the same or different as the  $\alpha$ -group. The  $\omega$ -functional group, for example, can include a liberated functional group which can react with one or more comonomers to form polymer segments. Alternatively the liberated functionality can be reacted with an agent to change the functionality thereof and 15 incorporate at least a second reactive olefin end group.

The resultant polymers having a terminal olefin functionality can be used in 20 various applications. For example, the macromonomers of the invention with at least one terminal olefin group can be useful as comonomers in free radical polymerizations or as components in Ziegler/Natta or metallocene polymerization of olefins. Alternatively the terminal olefin functionality can be treated to convert the functionality thereof and the resultant product used in various end uses. For example, the terminal olefin group can be reacted with maleic anhydride to form compounds useful as dispersants for motor oil.

### **DETAILED DESCRIPTION OF THE INVENTION**

The present invention now will be described more fully hereinafter in which 25 preferred embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein; rather, these embodiments are provided so that this disclosure will be thorough and complete, and will fully convey the scope of the invention to those skilled in the art.

30 The olefin terminated macromonomers of the invention can be described by the following formula:



wherein:

Z is a C3 to C18 linear, branched or cyclic alkylene radical, optionally containing aryl or substituted aryl groups;

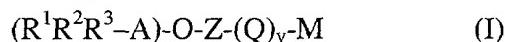
5 Q is a saturated or unsaturated hydrocarbyl group derived by incorporation of a compound selected from the group consisting of conjugated dienes, alkenylsubstituted aromatic compounds and mixtures thereof;

y is a number greater than 0 to about 5; and

10 poly comprises a polymer derived from one or more anionically polymerizable monomers. The macromonomers can have a molecular weight range of about 1000 to 10,000 but the molecular weight can be higher or lower.

The macromonomers of the invention can include polymer chains derived from any of the types of unsaturated organic compounds that can be polymerized anionically (i.e. in a reaction initiated by an organo-alkali metal). Such compounds include without limitation olefin monomers (including ethylene as well as conjugated dienes), alkenylsubstituted aromatic compounds, polar monomers such as esters, amides, and nitriles of acrylic or methacrylic acid, and the like, as well as mixtures thereof.

20 To prepare the macromonomers of the present invention, living polymer anions are prepared by anionically polymerizing at least one monomer in an inert solvent at a temperature from -30°C to 150°C for a period of at least one hour with a protected functionalized initiator having the formula (I):



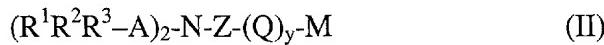
wherein:

25 M is an alkali metal selected from the group consisting of lithium, sodium and potassium;

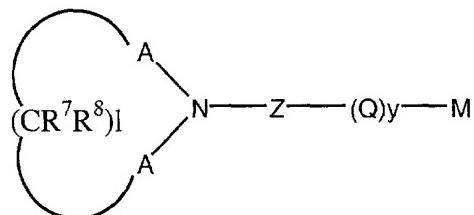
(A-R<sup>1</sup>R<sup>2</sup>R<sup>3</sup>) is a protecting group in which A is an element selected from Group IVa of the Periodic Table of the Elements; and R<sup>1</sup>, R<sup>2</sup>, and R<sup>3</sup> are each independently defined as hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, cycloalkyl and substituted cycloalkyl; and

30 Z, Q and y are the same as defined above. Typically 5 to 50 milli-moles of initiator is used per mole of monomer.

In another aspect of the invention the functionalized initiator can include a protected tertiary amine functional group, such as initiators having the following formulas (II) or (III):



5 or



(II)

wherein: R<sup>7</sup> and R<sup>8</sup> are each independently selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, cycloalkyl, and substituted cycloalkyl; l is an integer from 1 to 7; and M, A, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, Z, Q, and y are the same described above.

As used herein, the term "alkyl" refers to straight chain and branched C1-C15 alkyl. The term "substituted alkyl" refers to C1-C15 alkyl substituted with one or more lower C1-C10 alkyl, lower alkylthio, or lower dialkylamino. The term "cycloalkyl" refers to C5-C12 cycloalkyl. The term "substituted cycloalkyl" refers to C5-C12 cycloalkyl substituted with one or more lower C1-C10 alkyl, lower alkylthio, or lower dialkylamino. The term "aryl" refers to C5-C25 aryl having one or more aromatic rings, each of 5 or 6 carbon atoms. Multiple aryl rings may be fused, as in naphthyl or unfused, as in biphenyl. The term "substituted aryl" refers to C5-C25 aryl substituted with one or more lower C1-C10 alkyl, lower alkylthio, or lower dialkylamino. Exemplary aryl and substituted aryl groups include, for example, phenyl, benzyl, and the like.

Examples of suitable conjugated diene monomers include, but are not limited to, 1,3-butadiene, isoprene, 2,3-dimethyl-1,3-butadiene, 1,3-pentadiene, myrcene, 2-methyl-3-ethyl-1,3-butadiene, 2-methyl-3-ethyl-1,3-pentadiene, 1,3-hexadiene, 2-methyl-1,3-hexadiene, 1,3-heptadiene, 3-methyl-1,3-heptadiene, 1,3-octadiene, 3-butyl-1,3-octadiene, 3,4-dimethyl-1,3-hexadiene, 3-n-propyl-1,3-pentadiene, 4,5-diethyl-1,3-octadiene, 2,4-diethyl-1,3-butadiene, 2,3-di-n-propyl-1,3-butadiene, 2-methyl-3-

isopropyl-1,3-butadiene, and the like and mixtures thereof with one another and with other monomers.

Examples of polymerizable alkenylsubstituted aromatic compounds include, but are not limited to, styrene, alpha-methylstyrene, vinyltoluene, 2-vinylpyridine, 4-vinylpyridine, 1-vinylnaphthalene, 2-vinylnaphthalene, 1-alpha-methylvinylnaphthalene, 2-alpha-methylvinylnaphthalene, 1,2-diphenyl-4-methyl-1-hexene, and the like and mixtures of these, as well as alkyl, cycloalkyl, aryl, alkylaryl and arylalkyl derivatives thereof in which the total number of carbon atoms in the combined hydrocarbon constituents is generally not greater than 18. Examples of these latter compounds include 10 3-methylstyrene, 3,5-diethylstyrene, 4-*tert*-butylstyrene, 2-ethyl-4-benzylstyrene, 4-phenylstyrene, 4-p-tolylstyrene, 2,4-divinyltoluene and 4,5-dimethyl-1-vinylnaphthalene. U.S. Patent No. 3,377,404, incorporated herein by reference in its entirety, discloses suitable additional alkenylsubstituted aromatic compounds.

The monomers may be polymerized alone, or in admixture other monomers to 15 form random copolymers, or by charging the monomers to a reaction mixture sequentially to form block copolymers.

Protected functionalized initiators useful in the invention can be prepared in accordance with known procedures. Reference is made, for example, to U.S. Patent Nos. 5,621,149; 5,565,526; 5,190,547; 6,020,430; 5,496,940; and 5,527,753. The protected 20 functional initiators include omega-(*tert*-alkoxy)-1-alkyllithiums; omega-(*tert*-alkoxy)-1-alkyllithiums chain extended with conjugated alkadiene hydrocarbons, alkenylsubstituted aromatic hydrocarbons and mixtures thereof; omega-(*tert*-butyldimethylsilyloxy)-1-alkyllithiums; omega-(*tert*-butyldimethylsilyloxy)-1-alkyllithiums chain extended with conjugated alkadiene hydrocarbons, alkenylsubstituted aromatic hydrocarbons and 25 mixtures thereof; omega-(dialkylamino)-1-alkyllithiums; omega-(dialkylamino)-1-alkyllithiums chain-extended with conjugated alkadiene hydrocarbons, alkenylsubstituted aromatic hydrocarbons, and mixtures thereof; omega-(bis-*tert*-alkylsilylamino)alkyllithiums; omega-(bis-*tert*-alkylsilylamino)alkyllithiums chain 30 extended with conjugated alkadiene hydrocarbons, alkenylsubstituted aromatic hydrocarbons, and mixtures thereof; and the like and mixtures thereof.

Examples of protected functionalized organolithium initiators include, but are not limited to, tert-alkoxy-alkyllithiums such as 3-(1,1-dimethylethoxy)-1-propyllithium and its more hydrocarbon-soluble isoprene chain-extended oligomeric analog ( $y=2$  in formula (I) above), 3-(tert-butyldimethylsilyloxy)-1-propyllithium ( $y=0$ ), and mixtures thereof.

- 5 Further examples of protected functionalized initiators that may be employed in this  
invention include, but are not limited to, 3-(1,1-dimethylethoxy)-1-propyllithium, 3-(1,1-  
dimethylethoxy)-2-methyl-1-propyllithium, 3-(1,1-dimethylethoxy)-2,2-dimethyl-1-  
propyllithium, 4-(1,1-dimethylethoxy)-1-butyllithium, 5-(1,1-dimethylethoxy)-1-  
pentyllithium, 6-(1,1-dimethylethoxy)-1-hexyllithium, 8-(1,1-dimethylethoxy)-1-  
10 octyllithium, 3-(1,1-dimethylpropoxy)-1-propyllithium, 3-(1,1-dimethylpropoxy)-2-  
methyl-1-propyllithium, 3-(1,1-dimethylpropoxy)-2,2-dimethyl-1-propyllithium, 4-(1,1-  
dimethylpropoxy)-1-butyllithium, 5-(1,1-dimethylpropoxy)-1-pentyllithium, 6-(1,1-  
dimethylpropoxy)-1-hexyllithium, 8-(1,1-dimethylpropoxy)-1-octyllithium, 3-(t-  
15 butyldimethylsilyloxy)-1-propyllithium, 3-(t-butyldimethylsilyloxy)-2-methyl-1-  
propyllithium, 3-(t-butyldimethylsilyloxy)-2,2-dimethyl-1-propyllithium, 4-(t-  
butyldimethylsilyloxy)-1-butyllithium, 5-(t-butyldimethylsilyloxy)-1-pentyllithium, 6-(t-  
butyldimethylsilyloxy)-1-hexyllithium, 8-(t-butyldimethylsilyloxy)-1-octyllithium and 3-  
20 (trimethylsilyloxy)-2,2-dimethyl-1-propyllithium, and their more hydrocarbon soluble  
conjugated alkadiene or alkenylsubstituted aromatic chain extended oligomeric analogs  
(y = greater than 0 to about 5 in formula (I) above).

Examples of protected functionalized organolithium initiators (**II**) and (**III**) include, but are not limited to, 3-(dimethylamino)-1-propyllithium and its more hydrocarbon-soluble isoprene chain-extended oligomeric analog ( $y=2$ ) and 3-[di-(tert-butyldimethylsilyl)amino]-1-propyllithium, and mixtures thereof. Further examples of protected functionalized initiators that may be employed in this invention include, but are not limited to, 3-(dimethylamino)-1-propyllithium, 3-(dimethylamino)-2-methyl-1-propyllithium, 3-(dimethylamino)-2,2-dimethyl-1-propyllithium, 4-(dimethylamino)-1-butyllithium, 5-(dimethylamino)-1-pentyllithium, 6-(dimethylamino)-1-hexyllithium, 8-(dimethylamino)-1-propyllithium, 3-(hexamethyleneimino)-1-propyllithium, 4-(hexamethyleneimino)-1-butyllithium, 5-(hexamethyleneimino)-1-pentyllithium, 6-(hexamethyleneimino)-1-hexyllithium, 8-(hexamethyleneimino)-1-octyllithium, and their

more hydrocarbon soluble conjugated alkadiene or alkenylsubstituted aromatic chain extended oligomeric analogs ( $y$  = greater than 0 to about 5 in formula (II) or (III) above).

It is noted that chain extension of the initiators described herein can be accomplished with less than one equivalent of conjugated and/or alkenylsubstituted aromatic

5 compound.

The inert solvent employed during the polymerizations is preferably a non-polar solvent such as a hydrocarbon, since anionic polymerization in the presence of such non-polar solvents is known to produce polyenes with high 1,4-contents from 1,3-dienes.

Inert hydrocarbon solvents useful in practicing this invention include, but are not limited

10 to, inert liquid alkanes, cycloalkanes and aromatic solvents such as alkanes and cycloalkanes containing five to ten carbon atoms such as pentane, hexane, cyclohexane, methylcyclohexane, heptane, methylcycloheptane, octane, decane and so forth and aromatic solvents containing six to ten carbon atoms such as benzene, toluene, ethylbenzene, p-xylene, m-xylene, o-xylene, n-propylbenzene, isopropylbenzene, n-  
15 butylbenzene, and the like.

Polar solvents (modifiers) can be added to the polymerization reaction to alter the microstructure of the resulting polymer, i.e., increase the proportion of 1,2 (vinyl) microstructure or to promote functionalization or randomization. Examples of polar modifiers include, but are not limited to, diethyl ether, dibutyl ether, tetrahydrofuran, 2-

20 methyltetrahydrofuran, methyl tert-butyl ether, 1,2-dimethoxyethane (glyme), 1,2-diethoxyethane, diazabicyclo[2.2.2]octane, triethylamine, tributylamine, N-methylpiperidine, N-methylpyrrolidine, and N,N,N',N'-tetramethylethylene diamine (TMEDA). The amount of the polar modifier added depends on the vinyl content desired, the nature of the monomer, the temperature of the polymerization, and the  
25 identity of the polar modifier. The polar solvent (modifier) can be added to the reaction medium at the beginning of the polymerization as part of the solvent reaction medium or added during the polymerization.

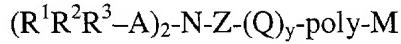
The polymerization reaction can proceed from initiation to propagation to form a living polymer anion having a terminal protected hydroxyl functionality. The resulting

30 living polymer can be generally represented as follows:

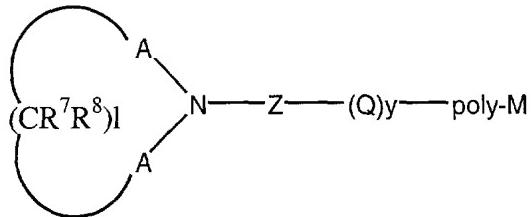


wherein  $R^1$ ,  $R^2$ ,  $R^3$ , A, Z, Q, y, poly, and M have the meanings ascribed above.

Alternatively, the resulting living polymer can be generally represented as follows:



5 or

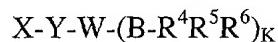


wherein  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^7$ ,  $R^8$ , A, Z, Q, y, l, poly, and M have the meanings ascribed above.

- Polymerization is terminated with appropriate reagents so that the polymer is mono-functionally or di-functionally terminated. For example, the mono-protected 10 mono-functional living polymer can be quenched or terminated, for example by addition of a suitable proton donor, such as water, methanol, isopropanol, acetic acid, and the like, to provide a mono-functional polymer.

Alternatively, polymerization can be followed by functionalization of the resultant living anion with a suitable electrophile to provide a mono- or di-protected, di-functional 15 polymer. The di-functional polymer may be telechelic, i.e., contain two functional groups, which are the same, per molecule at the termini of the polymer. The polymer can also be hetero-telechelic, having different functionalities, and/or differently protected functionalities, at opposite ends of the polymer chain.

The functionalizing agents, or electrophiles, can be prepared as described, for 20 example, in International Publication WO 97/16465, the entire disclosure of which is incorporated by reference. See also U.S. Pat. No. 5,910,547. Such functionalizing agents (electrophiles) include those having the following structure:



wherein:

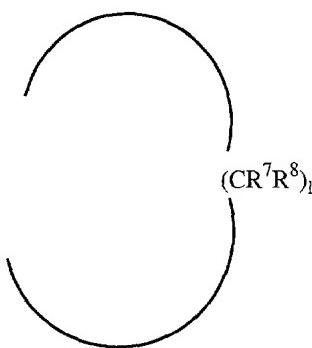
25 X is halogen selected from chloride, bromide and iodide;

Y is a C1 to C18 linear, branched or cyclic alkylene radical, optionally containing aryl or substituted aryl groups;

W is oxygen, nitrogen or sulfur;

- (B-R<sup>4</sup>R<sup>5</sup>R<sup>6</sup>) is a protecting group in which B is an element selected from Group 5 IVa of the Periodic Table of the Elements; and R<sup>4</sup>, R<sup>5</sup>, and R<sup>6</sup> are each independently defined as hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, cycloalkyl and substituted cycloalkyl or R<sup>6</sup> is optionally a -(CR<sup>7</sup>R<sup>8</sup>)<sub>l</sub>- group linking two B when k is 2, wherein R<sup>7</sup> and R<sup>8</sup> are each independently selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, cycloalkyl, and substituted 10 cycloalkyl, and l is an integer from 1 to 7; and

k is 1 when W is oxygen or sulfur and 2 when W is nitrogen. Thus the skilled artisan will appreciate that R<sup>6</sup> as used herein includes the group



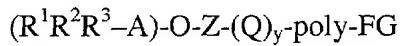
linking two B groups when k is 2.

- 15 In addition, the electrophiles can be prepared as described in M. Tokyamo, A. Hirao, S. Nakahama and K. Takenaka, Macromol. Chem. Phys., 197, 3135 (1996); K. Ueda, A. Hirao, and S. Nakahama, Macromolecules, 23, 939 (1990); U.S. Patent No. 5,496,940; U.S. Patent No. 5,600,021; U.S. Patent No. 5,362,699; A. Alexakis, M. Gardette, and S. Colin, Tetrahedron Letters, 29, 1988, 2951; B. Figadere, X. Franck, and 20 A. Cave, Tetrahedron Letters, 34, 1993, 5893; J. Almena, F. Foubelo, and M. Yus, Tetrahedron, 51, 1995, 11883; D.F. Taber and Y. Wang, J. Org. Chem., 58, 1993, 6470; F.D. Toste and I.W.J. Still, Synlett, 1995, 159; and U.S. Patent No. 5,493,044, the entire disclosure of each of which is also incorporated by reference. The functionalization step can be conducted at temperatures ranging from about -30<sup>0</sup>C to about 150<sup>0</sup>C.

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Other compounds useful in functionalizing living polymers include, but are not limited to, alkylene oxides, such as ethylene oxide, propylene oxide, styrene oxide, and oxetane; oxygen; sulfur; carbon dioxide; halogens such as chlorine, bromine and iodine; propargyl halides; alkenylhalosilanes and omega-alkenylarylhalosilanes, such as 5 styrenyldimethyl chlorosilane; sulfonated compounds, such as 1,3-propane sultone; amides, including cyclic amides, such as caprolactam, N-benzylidene trimethylsilylamine, and dimethyl formamide; silicon acetals; 1,5-diazabicyclo[3.1.0]hexane; allyl halides, such as allyl bromide and allyl chloride; methacryloyl chloride; amines, including primary, secondary, tertiary and cyclic amines, 10 such as 3-(dimethylamino)-propyl chloride and N-(benzylidene)trimethylsilylamine; epihalohydrins, such as epichlorohydrin, epibromohydrin, and epiiodohydrin; haloalkyl trialkoxysilanes; and other materials as known in the art to be useful for terminating or end capping polymers. These and other useful functionalizing agents are described, for example, in U.S. Patent Nos. 3,786,116 and 4,409,357, the entire disclosure of each of 15 which is incorporated herein by reference.

The resulting polymer can be generally represented as follows:

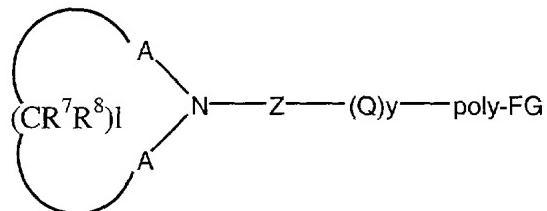


wherein FG can be hydrogen or a protected or unprotected functional group, and R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, A, Z, Q, y, and poly have the meanings ascribed above.

20 Alternatively, the resulting living polymer can be generally represented as follows:



or



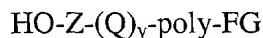
25 wherein FG, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>7</sup>, R<sup>8</sup>, A, Z, Q, y, l, and poly have the meanings ascribed above.

The resultant polymer having at least one terminal protected hydroxy functional group can then be treated under conditions sufficient to remove the protecting group and liberate the hydroxy functionality.

The polymers may be optionally hydrogenated prior to or after deprotection.

- 5 Examples of methods to hydrogenate the polymers of this invention are described in Falk, *Journal of Polymer Science: Part A-1*, vol. 9, 2617-2623 (1971), Falk, *Die Angewandte Chemie*, **21**, 17-23 (1972), U.S. Patent Nos. 4,970,254, 5,166,277, 5,393,843, 5,496,898, and 5,717,035. The hydrogenation of the functionalized polymer is conducted *in situ*, or in a suitable solvent, such as hexane, cyclohexane or heptane. This solution is contacted  
10 with hydrogen gas in the presence of a catalyst, such as a nickel catalyst. The hydrogenation is typically performed at temperatures from 25°C to 150°C, with an archetypal hydrogen pressure of 15 psig to 1000 psig. The progress of this hydrogenation can be monitored by InfraRed (IR) spectroscopy or Nuclear Magnetic Resonance (NMR) spectroscopy. The hydrogenation reaction is conducted until at least 90% of the aliphatic  
15 unsaturation has been saturated. The hydrogenated functional polymer is then recovered by conventional procedures, such as removal of the catalyst with aqueous acid wash, followed by solvent removal or precipitation of the polymer.

- 20 The protecting group can be removed, for example, using acid catalyzed deprotection, such as described in more detail below. One such resulting polymer can be generally represented as follows:

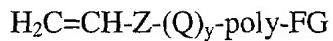


wherein Z, Q, y, poly and FG have the meanings ascribed above.

- 25 Deprotection of the  $\alpha$ -functional hydroxyl group can be performed using acid catalysis. For example, to remove *tert*-alkyl-protected groups, the protected polymer is mixed with Amberlyst® 15 ion exchange resin and heated at an elevated temperature, for example 150°C, until deprotection is complete. In addition, *tert*-alkyl-protected groups can also be removed by reaction of the polymer with trifluoroacetic acid, p-toluenesulfonic acid or trimethylsilyliodide. Additional methods of deprotection of the  
30 *tert*-alkyl protecting groups can be found in T.W. Greene and P.G.M. Wuts, Protective Groups in Organic Synthesis, Second Edition, Wiley, New York, 1991, page 41. The *tert*-butyldimethylsilyl protecting groups can be removed by treatment of the polymer

with acid, such as hydrochloric acid, acetic acid, para-toluenesulfonic acid, or Dowex 50W-X8. Alternatively, a source of fluoride ions, for instance tetra-n-butylammonium fluoride, potassium fluoride and 18-crown-6, or pyridine-hydrofluoric acid complex, can be employed for deprotection of the *tert*-butyldimethylsilyl protecting groups. Additional methods of deprotection of the *tert*-butyldimethylsilyl protecting groups can be found in T.W. Greene and P.G.M. Wuts, Protective Groups in Organic Synthesis, Second Edition, Wiley, New York, 1991, pages 80-83. See also U.S. Patent No. 5,922,810.

The polymer with at least one liberated hydroxyl functionality is thereafter treated under conditions sufficient to eliminate the hydroxyl group and form a terminal olefin group, for example, utilizing acid catalyzed dehydration. The resultant polymer can be generally represented as follows:



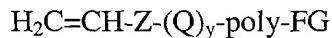
wherein Z, Q, y, poly and FG have the meanings ascribed above.

Conventional dehydration processes as known in the art can be used. For example,  $\text{H}_2\text{SO}_4$  and  $\text{H}_3\text{PO}_4$  may be used as acid dehydration reagents. Metallic oxides may also be used, such as but not limited to  $\text{Cr}_2\text{O}_3$ ,  $\text{TiO}_2$  and  $\text{WO}_3$ , as well as sulfides, other metallic salts and zeolites. Still further, the alcohol can be converted to an ester and the ester subjected to pyrolysis. Vapor phase elimination over  $\text{Al}_2\text{O}_3$  may be used for alcohols that can be evaporated, even high molecular weight alcohols. Many other dehydrating agents may also be used, such as  $\text{P}_2\text{O}_5$ ,  $\text{I}_2$ ,  $\text{ZnCl}_2$ ,  $\text{BF}_3$ -etherate, dimethyl sulfoxide,  $\text{KHSO}_4$ , anhydrous  $\text{CuSO}_4$  and phthalic anhydride, among others. Temperatures can vary, and generally range from about 100°C up to 300°C, although temperatures outside of this range may also be used. See March, J., "Advanced Organic Chemistry, Reactions, Mechanisms, and Structure," 4th Edition, John Wiley & Sons, 1992, page 1011, which is incorporated herein by reference. See also Cram, D. & Hammond, G., "Organic Chemistry," 2nd Edition, McGraw-Hill Book Co, 1959, pages 337-338, which are also incorporated herein by reference.

In an alternative aspect of the invention, the polymer with at least one protected tertiary amine group can be treated under conditions sufficient to modify the amine group and to eliminate the modified amine group to form a terminal olefin group, for example, utilizing acid catalyzed dehydration. For example, the protected tertiary amine group can

be quaternized (using known techniques, such as reaction with methyl iodine) and the quaternary amine group eliminated using heat (typically about 125°C or higher, although again temperatures below this may be used) to form a terminal olefin group. See, for example, March, J., "Advanced Organic Chemistry, Reactions, Mechanisms, and Structure," 4th Edition, John Wiley & Sons, 1992, pages 914, 919-920, which is incorporated herein by reference. Alternatively, the protected tertiary amine group can be reacted with a peroxide or peracid to form an N-oxide group, which in turn can be eliminated by thermal treatment (for example at 100-150°C, although not limited to this temperature range) to form a terminal olefin group. See March, J., "Advanced Organic Chemistry, Reactions, Mechanisms, and Structure," 4th Edition, John Wiley & Sons, 1992, pages 1018, which is also incorporated herein by reference.

The resultant polymer can also be generally represented as follows:



wherein Z, Q, y, poly and FG have the meanings ascribed above. Conventional elimination processes as known in the art can be used.

In other aspects of the invention in which FG is a protected functional group, the protecting group can also be removed (simultaneously with removal of the protecting group  $\text{R}^1\text{R}^2\text{R}^3\text{-A}$  or in a separate deprotection step) to liberate this functionality. The liberated functionality can be further treated to convert the functionality and/or to participate in subsequent polymerization chemistry.

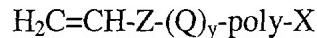
For example, when the living chain end is reacted with a protected functionalized electrophile, the resultant protected functionality can also be deprotected, and the liberated functionality can optionally be reacted with one or more comonomers to polymerize a functional end thereof. Exemplary comonomers include without limitation cyclic ethers, diamines, diisocyanates, polyisocyanates, di-, poly- and cyclic amides, di- and polycarboxylic acids, diols, polyols, anhydrides, and the like and mixtures thereof. For example, functionalized polymers can be further reacted with monofunctional monomers, such as caprolactam, or other lactams, to form a polyamide block polymer segment, or cyclic ethers such ethylene oxide to form polyether blocks; or with difunctional monomers, such as diacids or anhydrides and diamines to form polyamide blocks, or diacids or anhydrides or lactones and diols to form polyester blocks, or diols

and polyols with diisocyanates or polyisocyanates to form polyurethane blocks.

Polyisocyanates or polyfunctional polyols are examples of polyfunctional monomers.

The functional group may also be reacted with a suitable agent containing a reactive olefin bond, such as a styrenic or acrylic functionality, including but not limited  
5 to acryloyl or methacryloyl chloride, which will act to change the nature of the functionality and provide a macromonomer having additional olefin end groups that are capable of polymerizing with other free radically polymerizable monomers.

Such polymers can be generally represented by the formula



10 wherein Z, Q, y, and poly have the meanings ascribed above and X represents a polymer segment resulting from the reaction of the liberated functionality with one or more comonomer, or X represents a reactive olefinic bond.

The following table details experimental conditions that will selectively remove one of the protecting groups (more labile) from the polymer, while retaining the other  
15 protecting group (more stable). See also U.S. Pat. Nos. 5,922,810 and 5,910,547.

	<u>LABILE</u>	<u>STABLE</u>	<u>CONDITIONS</u>
	t-butyldimethylsilyl	t-butyl	tetrabutylammonium fluoride
	t-butyldimethylsilyl	t-butyl	1 N HCl
	t-butyldimethylsilyl	dialkylamino	tetrabutylammonium fluoride
20	t-butyldimethylsilyl	dialkylamino	1 N HCl
	t-butyl	dialkylamino	Amberlyst resin
	t-amyl	dialkylamino	Amberlyst resin
	trimethylsilyl	t-butyl	tetrabutylammonium fluoride
	trimethylsilyl	t-butyl	1 N HCl
25	trimethylsilyl	dialkylamino	tetrabutylammonium fluoride
	trimethylsilyl	dialkylamino	1 N HCl
	2,2,5,5-tetramethyl-2,5-disila-1-azacyclopentane	t-butyl	tetrabutylammonium fluoride
30	2,2,5,5-tetramethyl-2,5-disila-1-azacyclopentane	t-butyl	1 N HCl
	2,2,5,5-tetramethyl-2,5-disila-1-azacyclopentane	dialkylamino	tetrabutylammonium fluoride

35

2,2,5,5-tetramethyl-2,5-  
disila-1-azacyclopentane      dialkylamino      1 N HCl

The present invention will be further illustrated by the following descriptions of  
5 various macromonomers which can be prepared in accordance with the present invention.

In one aspect of the invention, polyethylene macromonomers with a terminal olefin group can be prepared by an elimination reaction, such acid catalyzed dehydration of polyethylene which has a terminal hydroxyl group, or a derivative of such.

Polyethylene with  $\alpha$ -protected hydroxyl functionality can be prepared using a protected  
10 hydroxy functional organolithium initiator. For example, initiators of type R-O-Z-(Q)<sub>y</sub>-Li can be employed, wherein R is a tertiary alkyl or trialkylsilyl group protecting group; and Z, Q and y are the same as defined above. The initiator is used to initiate the polymerization of ethylene, in the presence of an appropriate modifier, i.e., a di- or polydentate complexing agent, followed by acid quenching and removal of the protecting  
15 group, resulting in an  $\alpha$ -hydroxyl functional polyethylene. Conversion to the terminal olefin functionalized polyethylene is accomplished by an elimination reaction such as acid catalyzed dehydration of the terminal alcohol or a derivative of such. The acid catalysis can be conducted at about 200°C and higher. The quenching, deprotection, and subsequent dehydration may be carried out separately or simultaneously. Exemplary  
20 complexing agents include, but are not limited to, N,N,N',N'-tetramethylethylenediamine (TMEDA), 1,4-diazabicyclo[2.2.2]octane (DABCO), heterocyclic cryptands, and the like.

Other polyolefin macromonomers with olefin functional terminal groups can be prepared by initiating polymerization of butadiene with a protected hydroxyl functional organolithium initiator as above, then hydrogenating the polymer as described in U.S.  
25 Pat. Nos. 4,970,254, 5,166,277, 5,393,843, and 5,496,898, to yield an ethylene-butylene copolymer with an  $\alpha$ -protected hydroxyl functional group. Acid quenching of the lithium living end, then acid catalyzed deprotection, followed by an elimination reaction on the terminal alcohol, or a derivative of such, such as acid catalyzed dehydration, yields the ethylene-butylene macromonomer with a terminal olefin group. The deprotection, and  
30 subsequent dehydration may be carried out separately or simultaneously.

Similarly, an ethylene-propylene copolymer with olefin functional terminal groups are prepared by initiating polymerization of isoprene with a protected hydroxyl

functional organolithium initiator as above, then hydrogenating the polymer as described in U.S. Pat. Nos. 4,970,254, 5,166,277, 5,393,843, and 5,496,898, to yield an ethylene-propylene copolymer with an  $\alpha$ -protected hydroxyl functional group. Acid catalyzed deprotection, followed by an elimination reaction on the terminal alcohol, or a derivative 5 of such, such as acid catalyzed dehydration, yields the ethylene-propylene macromonomer with a terminal olefin group. The deprotection, and subsequent dehydration may be carried out separately or simultaneously.

A polystyrene macromonomer with terminal olefin functionality can be similarly prepared as above by polymerization of styrene using a protected hydroxyl functional 10 organolithium initiator, yielding an  $\alpha$ -protected hydroxyl functional polystyrene.

Quenching of the living lithium chain end, and acid catalyzed deprotection, followed by an elimination reaction on the terminal alcohol, or a derivative of such, such as acid catalyzed dehydration yields the polystyrene macromonomer with a terminal olefin group. The quenching, deprotection, and subsequent dehydration may be carried out 15 separately or simultaneously.

A poly(vinylcyclohexane) macromonomer with terminal olefin functionality can be similarly prepared as above by polymerization of styrene using a protected hydroxyl functional organolithium initiator, yielding an  $\alpha$ -protected hydroxyl functional polymer, followed by hydrogenation as described in U.S. Pat. No. 5,352,744 or using a silica 20 supported rhodium catalyst as described in U.S. Pat. Nos. 5,654,253 and 5,700,878. Acid catalyzed deprotection, followed by an elimination reaction on the terminal alcohol, or a derivative of such, such as acid catalyzed dehydration yields the poly(vinyl cyclohexane) macromonomer with a terminal olefin group. The deprotection and subsequent dehydration may be carried out separately or simultaneously.

A poly(vinylcyclohexane-b-ethylene) block copolymer macromonomer with terminal olefin functionality can be prepared using a protected hydroxyl functional initiator to polymerize styrene and ethylene in the presence of TMEDA, yielding a poly(styrene-b-ethylene) copolymer with a protected hydroxyl terminal group. This polymer is then hydrogenated, as described in U.S. Patent No. 5,352,744 or using a silica 30 supported rhodium catalyst as described in U.S. Pat. Nos. 5,654,253 and 5,700,878. Acid catalyzed deprotection, followed by an elimination reaction on the terminal alcohol, or a

derivative of such, such as acid catalyzed dehydration, yields the poly(vinylcyclohexane-*b*-ethylene) macromonomer with a terminal olefin group. The deprotection and subsequent dehydration may be carried out separately or simultaneously.

- α*-Olefin,  $\omega$ -hydroxy-macromonomers analogous to the above polymers can be
- 5 prepared by use of a protected hydroxyl functional organolithium initiator and carrying out the polymerizations as described above, followed by quenching with an electrophile containing a hydroxyl group with a different protecting group. Following hydrogenation, one of the protecting groups is selectively removed, and an elimination reaction, such as dehydration, carried out on this hydroxyl group, or a derivative of the hydroxyl group.
- 10 Finally the remaining hydroxyl protecting group is removed, yielding the *α*-olefin,  $\omega$ -hydroxy-macromonomer.

- α*-Olefin,  $\omega$ -amino-macromonomers analogous to the above polymers may be
- 15 prepared by use of a protected hydroxyl functional organolithium initiator and carrying out the polymerizations as described above, followed by quenching with an electrophile containing a protected primary or secondary amine group or a tertiary amine group. Following hydrogenation, the hydroxyl protecting group is selectively removed, and an elimination reaction, such as dehydration carried out on this terminal hydroxyl group, or a derivative of the hydroxyl group. Finally the primary or secondary amine protecting group is removed, yielding the *α*-olefin,  $\omega$ -amino-macromonomer.

- 20 Another type of macromonomer that can be prepared in accordance with the present invention includes a terminal olefin at each end. This can be accomplished by quenching the living anion with ethylene oxide, hydrogenating, deprotecting, then dehydrating. This allows formation of A-B-A graft copolymers, upon subsequent free radical polymerization. In addition, A-B-C graft copolymers can be similarly prepared
- 25 by dehydration and grafting in sequence.

Thus, by choice of appropriate electrophiles with desired functionalities, other terminal olefin functional macromonomers with these functionalities at the other terminus of the polymer can be prepared in an analogous process to the latter example.

- As noted above, polymers can also be prepared in accordance with the present
- 30 invention which include a  $\omega$ -protected functionality. In the invention, this protecting group can also be removed, for example, using acid catalysis. If the protecting groups on

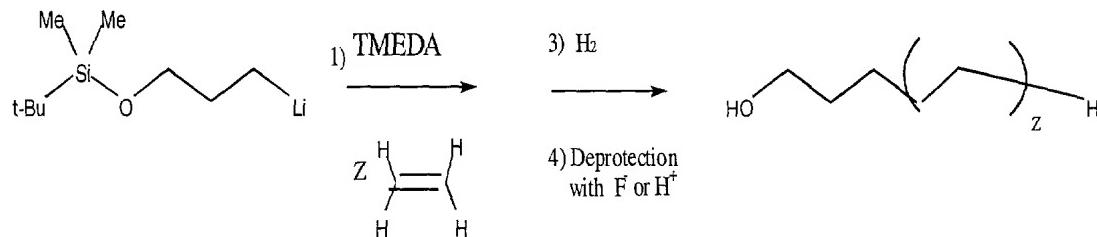
each end of the polymer are the same, or can be removed the same deprotection procedure, complete deprotection of the polymer can be achieved in a single step. Alternatively, the polymer may include dissimilarly protected terminal functionalities, in which case the one of the protecting groups can be selectively removed without removing the other, using selective reagents specifically suited to remove the targeted protective group, for example, the protecting group -A-R<sup>1</sup>R<sup>2</sup>R<sup>3</sup>, and to liberate the desired functionality on the end of the polymer chain.

Exemplary reactions in accordance with the invention are illustrated below by Equations 1 and 2:

10

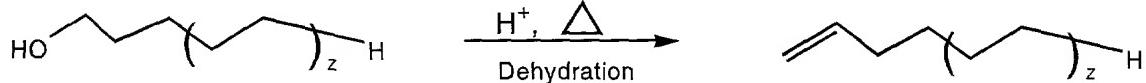
**Equation 1**

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**Equation 2**

20



25

Many modifications and other embodiments of the invention will come to mind to one skilled in the art to which this invention pertains having the benefit of the teachings presented in the foregoing descriptions and the associated drawings. Therefore, it is to be understood that the invention is not to be limited to the specific embodiments disclosed and that modifications and other embodiments are intended to be included within the scope of the appended claims. Although specific terms are employed herein, they are used in a generic and descriptive sense only and not for purposes of limitation.